

LICENSING AND INSURANCE

Owners and trainers: The rules of racing of the New York State Gaming Commission require that all racing personnel including owners, trainers and jockeys must be eligible for a license and have the required application on file with the Racing Commission office (on track) prior to their active participation in racing.

Partners, stable names, authorized agents and racing colors must be registered with the Jockey Club before entries are made.

Section 57 of the Workers' Compensation law requires the heads of all state and municipal entities to ensure that businesses applying for any permits, licenses or entering into contracts have appropriate workers' compensation insurance coverage prior to issuing those permits, licenses or entering into contracts. This is reflected in New York State Gaming Commission Rules 9 NYCRR 4006.3 and 4101.31.

As directed by the New York State Workers' Compensation Board (WCB), all out of state employers with employees working in New York State will be required to carry a full, statutory New York State workers' compensation insurance policy.

The documents that represent proof of New York Coverage are a State Insurance Fund form U-26.3 or WCB form C105.2 ACORD forms are NOT acceptable proof of New York State workers' compensation coverage!

All starters charged a fee for the HBPA health and welfare fund.

Trainers employing unlicensed help are subject to disciplinary action.

ENTRIES

All nominations and entries in any race will be accepted only upon the following conditions and agreements.

The subscriber will comply with the rules and regulations of the New York State Gaming Commission including but without limitations, the rules quoted below and with the conditions rules and regulations of the Racing Association and those contained in the condition book and agrees that all questions arising out of racing shall be decided as provided by the rules and regulations of the New York State Gaming Commission.

By permission of the New York State Gaming Commission races may not be run over a race course other than the one which has been announced to be run.

Every person subscribing to a sweepstake or entering a horse in a race to be run under these rules accepts the decision of the Steward or the decision of the New York State Gaming Commission as the case may be on any question relating to a race or racing.

The nominations or entries of any person or the transfer of any nominations or entry may be cancelled or revoked without notice by the racing association or at the discretion of the New York Gaming Commission or of the Stewards.

The list of entries for overnight races shall be closed at the advertised time and no entry shall be admitted after that time except that in the case of an emergency, the racing secretary may with the consent of the stewards grant an extension of time.

The list of entries for overnight races shall be closed at the advertised time of closing and starters must be named through the entry box at the usual time of closing.

The Finger Lakes Racing Association, Inc. reserves the rights to cancel any event without notice at any time prior to the actual running thereof without liability, except for the return of the subscription fees and to reject or cancel any nominations or entry thereof.

Entry Rules

1. All horses entered to race must be present on the track on race day no later than 9:00 AM unless a special waiver to cover an individual circumstance is granted by the stewards.
2. At the stewards' discretion any horse that was a DNF (eased, distanced, etc.) in their last start must have a published work prior to racing.
3. Before any horses will be permitted to start at the meeting, it must be registered with the racing secretary showing the full name of every person having an owner's interest other than the trainer's percent.
4. Any change in the ownership of a horse must be immediately reported and the necessary affidavit of ownership executed by the new owner and filed with the track registration clerk/Horse ID.
5. Horses may re-enter with the permission of the racing secretary and the stewards. Any horses re-entered will be scratched from the previous day's card.
6. Owners and trainers must be careful to claim allowances at the time of entry and state penalties and are solely responsible if a horse starts with the incorrect weight and is therefore disqualified. Owners and trainers are solely responsible for their horse's eligibility in races where they enter.
7. All flat mile races will be limited to eight starters All races carded at one mile that fill with more than eight horses will be carded at 1M 40 yds.
8. Only licensed owners, trainers, and jockey's agents can nominate for stake races.
9. It is the trainer's responsibility to make arrangements for the administration of Lasix.
10. Starter allowance and starter handicap races are considered non-claiming races in determining eligibility.
11. An assistant trainer will only appear on the program if the licensed trainer will be out of town for an extended, uninterrupted period of time or at the discretion of the

stewards. All such requests must be submitted to the stewards.

12. All horses that race at Finger Lakes Race Track are required to have their ownership registered with the Jockey Club and entered into the Jockey Club ownership registry. In order to race your horses, this work must be done promptly.
13. Maiden eligibility rule – Maidens seven (7) years old or older and horses thirteen (13) years or older will not be eligible to start at Finger Lakes Race Track.
14. FLGR reserves the right to deny any entry in the best interest of racing.
15. At the discretion of the racing secretary NY-Bred races may open to non-NY-Breds if they fail to fill. It is the trainer's responsibility to declare intent not to run if open.
16. FLRA reserves the right to call off any race(s) that may not be in the **best interest of racing** due to the entrants, the uncoupling and/or the number of entries.

Finger Lakes Workout Rules

1. It is the trainer's responsibility to ensure that all first-time starters have three published workouts and approval from the Starter within 60 days prior to starting. Any first-time starter without three published works and approval from the starter is subject to be scratched by the stewards.
2. Horses that have not run within one year or more must work ½ mile for an association veterinarian (For horses stabled on the grounds). Any horse which has not started within the past two months (60 days) will be required to have at least one published workout within the last thirty days.
3. The clocker is on duty seven (7) days a week. Any horse "working" during this period **MUST** be reported to the clocker. Violators will be fined.

Entries, Weights, Allowances and Penalties

1. Entries will not close before 11:00 am
2. Entries and declarations to overnight races or handicaps will close at time designated by the racing secretary.
3. No horse shall be carded in two races the same day.
4. All horses shall parade and carry their weight from the paddock to the starting post.
5. On race days, horses not engaged as starters must not be exercised on the tracks between races except by permission of the Stewards.
6. All horses owned wholly or in part by the same person or the spouse of any such person must be coupled for betting purposes prior to the commencement of wagering on track and off track if they find it necessary in the public interest.
7. Owners and trainers should be careful to claim allowances and state penalties and are responsible for the weights carried.

Horses penalized in a race shall not be entitled to any of the allowances in such a race. The clause "maiden, claiming or starter not considered" and/or "races where entered for...or less not considered" shall apply to the allowances unless otherwise specified by the racing secretary.

Horses not entitled to the first allowance in a race shall not be entitled to the second and if not to the second then not to any subsequent allowance.

Rules for Preference Date System

1. The Preference Date System will begin with First Day of Racing.
2. All horses that have Foal Registration Papers on file in the Racing Office before 4 PM Tuesday April 23, 2019 will receive a **Zero** date.

3. **E-Date** – The date on which a horse’s foal papers are submitted to the horsemen’s Identifier on or after the last day for Zero Dates.
4. **R- Date** – This date will correspond to the day of a horse’s most recent race at Finger Lakes.
5. All horses will maintain their Zero, E-date or R- Date until they enter and or start on the corresponding race day of the meeting. Same owner entry will not lose their date shall one or other scratch as POE.
6. A zero Date has first preference and an E-date takes preference over a running date of the same date.
7. All horses will lose any established date should they scratch, or Foal Registration Papers are removed from the racing office, or it is determined that they raced elsewhere.
8. Horses placed on the Veterinarians, Starter’s, Steward’s, Horse ID, Paddock or Racing Secretary’s list lose their preference date. Horse’s on these lists, will be given a preference date corresponding to the day they come off the list.
9. A horse which draws on the also-eligible list will not lose its previous date regardless unless such horse is scratched from drawing into the field on that day.
10. A horse which runs in a State Bred Stake or Stakes will retain its date.
11. All horses in the body of a race that is cancelled will receive a special 00 Super Date preference.
12. All shippers will receive the same preference as horses stabled on the grounds if Foal Registration Papers are on file in the Racing Office.
13. Single entity or entry will always have preference regardless if Foal Registration is on file over coupled same owner entry.

In-Today Horses

A horse is considered an “in-today horse” when entered back after drawing into the main body of a race. An “in-today horse” only has consideration over the second part of a two-horse entry

and may be drawn into any race to the exclusion of a single interest, excepting where race eligibility or conditions specify otherwise. "In-today horses" do not receive a star or preference when excluded.

SCRATCHES

SCRATCH TIME 2:00 RACE DAYS & DARK DAYS

1. Horses scratched on the advice of the track veterinarian for lameness or returning lame from a race will be placed on the veterinarian's list for ten calendar days then must work ½ mile before being removed from the veterinarian's list. Blood may be drawn on any horse on the veterinarian's list. Horses scratched by the track veterinarian for conditions other than lameness will be placed on the steward's list for five racing days. The day of the scratch is not included as part of the penalty days.
2. Any horse scratched by a veterinarian for conditions other than lameness will be ineligible to start for five (5) racing days.
3. No entry may be withdrawn prior to the draw without permission of the racing secretary.
4. Changes in shoeing involving bar shoes must be reported at time of entry and must be reported each time that is entered to race.
5. Any part of a same trainer uncoupled entry scratched from the body of a race with eight or fewer betting interests will be put on the stewards list for ten racing days. Any variations (track conditions, racing office, etc.) will be at the stewards' discretion.

Track Condition Scratches

If a horseman requests to scratch a horse on any given day for track conditions, the following procedures will be followed:

1. Horseman completes a scratch card and submits it to the track veterinarian.
2. Horseman contacts the stewards' office to confirm that the scratch request was approved.
3. For races 1-5 the decision will be made by 10:00 am. For later races the decision will be made by 12:00 noon.

Stewards retain the authority to reject requests if in their judgement track conditions will be favorable by race time.

DRUGS AND TREATMENTS

Office of Veterinary Affairs



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Section 4043.2

(c) The following substances **may be administered by any means until 24 hours before** the scheduled post time of the race in which the horse is to compete:

- (1) antibiotics,
- (2) sulfa-expectorants (*e.g.*, sulfa-methoxypyridazine)
- (3) tetanus antitoxin,
- (4) electrolytes, vitamins, and other food supplements and body nutrients not containing perocaine or other drugs,
- (5) Omeprazole;
- (6) Cimetidine;
- (7) Ranatidine;

(8) Sucralfate.

They may not be administered by any means within 24 hours of the scheduled post time of the race in which the horse is to compete. In this regard, substances ingested by a horse shall be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such 24 hours.

(d) [Reserved]

(e) The following substances are **permitted to be administered by any means until 48 hours before** the scheduled post time of the race in which the horse is to compete:

- (1) aminophylline or theophylline;
- (2) arsenic solution (*e.g.*, Fowlers Solution);
- (3) aspirin or sodium thiosalicylate;
- (4) chymotrypsin (*e.g.*, Kymar);
- (5) diuretics (*e.g.*, furosemide [Lasix], except as otherwise provided pursuant to subdivision (b) of this section, thiazide derivatives [*e.g.*, Diuril];
- (6) epinephrine (adrenaline);
- (7) selenium/vitamin E (*e.g.*, E-Se);
- (8) griseofulvin (*e.g.*, Fulvicin);
- (9) hormones (*e.g.*, chorionic gonadatropin);
- (10) hyaluronic acid derivatives
- (11) immuno stimulants;
- (12) iodine injection (*e.g.*, Hypodermin, Harvey's Injectible Blister);
- (13) methenamine (*e.g.*, Urotropin);

(14) the following nonsteroidal anti-inflammatory drugs (NSAID's): Phenylbutazone (*e.g.*, Butazolidin), Flunixin (*e.g.*, Banamine), meclofenamic acid (Arquel), naproxen (*e.g.*, Naprosyn, Equiproxen), Ketoprofen (*e.g.*, Orudis);

(15) orgotein (*e.g.*, Palosein);

(16) hydroxychloroquine sulfate (*e.g.*, Rheaform);

(17) sarapin;

(18) sulfonamide drugs (*e.g.*, Sulfa); and

(19) biologics (*e.g.*, bacterins, antitoxins except tetanus antitoxin).

None of these substances may be administered within 48 hours of the scheduled post time of the race in which the horse is to compete. In this regard, substances ingested by a horse shall be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such 48 hours.

(f) The following substances **may be administered by any means until 72 hours before** the scheduled post time of the race in which the horse is to compete:

(1) antihistamines;

(2) dantrolene;

(3) ketamine hydrochloride;

(4) methocarbamol (*e.g.*, Robaxin);

(5) pentazocine (*e.g.*, Talwin);

(6) trichloromethiazide; and

(7) vermifuges (worm medicines), except phenothiazine.

None of these substances may be administered within 72 hours of the start of the scheduled post time of the race in which the horse is to compete. In this regard, substances ingested by a horse shall

be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such 72 hours.

(g) The following substances are **permitted to be administered by any means until 96 hours before** the scheduled post time of the race in which the horse is to compete:

- (1) acepromazine;
- (2) albuterol;
- (3) atropine;
- (4) butorphanol;
- (5) detomidine;
- (6) glycopyrrolate;
- (7) guaifenesin;
- (8) hydroxyzine;
- (9) isoxsuprine;
- (10) lidocaine;
- (11) mepivacaine;
- (12) pentoxifylline;
- (13) phenytoin;
- (14) pyrilamine;
- (15) xylazine.

None of these substances may be administered within 96 hours of the scheduled post time of the race in which the horse is to compete. In this regard, substances ingested by a horse shall be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such 96-hour period.

(h) No other drugs or medications (including procaine) may be administered by any means within one week of the scheduled

post time of the race in which the horse is to compete. In this regard, substances ingested by a horse shall be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such one-week period.

(i) In addition, a horse may not race for the following periods of time:

(1) for at least five days following a systemic administration of a prednisolone or dexamethasone;

(2) for at least seven days following a joint injection of a corticosteroid; and the following corticosteroids may be administered only by means of a joint injection: betamethasone, isoflupredone, any formulation of methylprednisolone and any formulation of triamcinolone;

(3) for at least 14 days following an administration of clenbuterol or firocoxib.

In this regard, substances ingested by a horse shall be deemed administered at the time of eating and drinking. It shall be part of the trainer's responsibility to prevent such ingestion within such time periods.

(j) The listing, reference to, or denomination herein of any drug or other substance does not constitute endorsement or recommendation by the commission for the use of such drug.

(k) A horse may not race after an administration of methylprednisolone acetate (*e.g.*, Depo Medrol) unless such horse (1) subsequently tests below the threshold set forth in section 4043.3 of this Part for such drug in a test conducted by or for the commission at the sole expense of the trainer of the horse; and (2) is released to race by the stewards.

METHYLPREDNISOLONE ACETATE (Depo-Medrol®)

The new threshold for methylprednisolone acetate (Depo-Medrol®) can be exceeded by administrations performed weeks or even months earlier. For example:

- An IM administration of Depo-Medrol could cause a post-race violation for **99 days**
- Some joint injections of Depo-Medrol might cause a violation for **50 days** or more
- Other administrations of Depo-Medrol may cause a violation within **7 days**

Therefore, **effective immediately, Depo-Medrol should not be administered to any horse that a trainer wishes to race in New York.** Horses will have to meet the new national threshold of 100 pg/ml on race day.

EQUINE STEROID ADMINISTRATION LOG

As required by Commission Rule 4043.4(b), all trainers and veterinarians must maintain complete and accurate veterinary records of every corticosteroid injection and report corticosteroid joint injections using Equine Steroid Administration Log ("ESAL") at <http://esal.gaming.ny.gov/>.

Section 4043.3. Equine drug thresholds; per se.

(a) A horse shall have raced in violation of this section if any of the following substances is found, by the laboratory conducting tests for the commission, to be present in a race-day urine or blood sample taken from such horse at a concentration in excess of a threshold listed below. The test result of such laboratory shall include an assessment of the measurement uncertainty and imprecision of the quantitative threshold for the substance.

(1) Acepromazine: 10 ng/ml HEPS in urine;

(2) Albuterol: 1 ng/ml in urine;

(3) Betamethasone: 10 pg/ml in plasma;

(4) Butorphanol:

(i) 300 ng/ml of total butorphanol in urine; or

- (ii) 2 ng/ml of free butorphanol in plasma;
- (5) Clenbuterol:
 - (i) 140 pg/ml in urine; or
 - (ii) any clenbuterol in plasma;
- (6) Cobalt: 50 ng/ml in plasma;
- (7) Dantrolene: 100 pg/ml of 5-hydroxydantrolene in plasma;
- (8) Detomidine:
 - (i) 1 ng/ml of any metabolite of detomidine in urine; or
 - (ii) any detomidine in plasma;
- (9) Dexamethasone: 5 pg/ml in plasma;
- (10) Diclofenac: 5 ng/ml in plasma;
- (11) DMSO: 10 mcg/ml in plasma;
- (12) Firocoxib: 20 ng/ml in plasma;
- (13) Flunixin: 20 ng/ml in plasma;
- (14) Furosemide: 100 ng/ml in plasma and a specific gravity of urine less than 1.010;
- (15) Glycopyrrolate: 3 pg/ml in plasma;
- (16) Isoflupredone: 100 pg/ml in plasma;
- (17) Ketoprofen: 2 ng/ml in plasma;
- (18) Lidocaine: 20 pg/ml of total 3-hydroxylicocaine in plasma;
- (19) Mepivacaine:
 - (i) 10 ng/ml of total hydroxymepivacaine in urine; or
 - (ii) any hydroxymepivacaine in plasma;

- (20) Methocarbamol: 1 ng/ml in plasma;
- (21) Methylprednisolone: 100 pg/ml in plasma;
- (22) Omeprazole: 1 ng/ml of omeprazole sulfide in urine;
- (23) Phenylbutazone: 2 mcg/ml in plasma;
- (24) Prednisolone: 1 ng/ml in plasma;
- (25) Procaine penicillin: 25 ng/ml of procaine in plasma;
- (26) Triamcinolone acetonide: 100 pg/ml in plasma; and
- (27) Xylazine: 10 pg/ml of total xylazine and its

metabolites in plasma.

(b) A laboratory finding that a horse has not exceeded a threshold set forth in this section shall not constitute a defense to a violation of any other section of this Subchapter.

(c) *Special provisions.* (1) Cobalt. A person who is found responsible for a violation of this section for the substance cobalt, when the detected concentration of cobalt exceeds 300 ng/ml in plasma, shall incur the same penalty described in paragraph (2) of subdivision (b) of section 4043.12 of this Part.

(2) Corticosteroid joint injection. It shall not be a violation of this section for the drug betamethasone, isoflupredone or triamcinolone acetonide when:

(i) the laboratory positive resulted from an administration that was recorded in the contemporaneous veterinary records of the horse, reported to the commission in compliance with subdivision (b) of section 4043.4 of this Part before the horse raced, and administered to the horse in compliance section 4043.2(i) of this Part at least seven days before the race; and (ii) the commission had not previously issued a warning to the trainer that the commission laboratory reported finding such substance, in a urine or blood sample collected from any horse trained by such trainer, at a concentration in excess of the threshold set forth in subdivision (a) of this section.

LASIX INFORMATION

APPR. OFF TIMES WITH 1:10 POST			MUST BE ADMINISTERED BY:	DEPOSIT ADMINISTRATION SLIPS BY:
RACE	1	1:10PM	8:40-9:10 AM	11:16 AM
	2	1:37PM	9:07-9:37 AM	11:16 AM
	3	2:04PM	9:34-10:04 AM	12:58 AM
	4	2:31PM	10:01-10:31 AM	12:58 AM
	5	2:58PM	10:28-10:58 AM	12:58:15 PM
	6	3:25PM	10:55-11:25 AM	1:15 PM
	7	3:52PM	11:23-11:52 AM	1:15 PM
	8	4:19PM	11:49AM- 12:19PM	1:40 PM
	9	4:46PM	12:16-12:46 PM	1:40 PM
	10	5:13PM	12:43-1:13 PM	1:40 PM
APPR. OFF TIMES WITH 12:15 POST			MUST BE ADMINISTERED BY:	DEPOSIT ADMINISTRATION SLIPS BY:
RACE	1	12:15PM	7:45-8:15 AM	11:15 AM
	2	12:42PM	8:12-8:42 AM	11:15 AM
	3	1:09PM	8:39-9:09 AM	11:15 AM
	4	1:36PM	9:06-9:36 AM	11:15 AM
	5	2:03PM	9:33-10:03 AM	12:57 PM
	6	2:39PM	10:00-10:30 AM	12:57 PM
	7	2:57PM	10:27-10:57 AM	12:57 PM
	8	3:25PM	10:55-11:25 AM	1:15 PM
	9	3:52PM	11:22-11:52 AM	1:15 PM
APPR. OFF TIMES WITH 12:35 POST			MUST BE ADMINISTERED BY:	DEPOSIT ADMINISTRATION SLIPS BY:
RACE	1	12:35 PM	8:05-8:35 AM	11:15 AM
	2	1:02 PM	8:32-9:02 AM	11:15 AM
	3	1:29 PM	8:59-9:29 AM	11:15 AM
	4	1:56 PM	9:26-9:56 AM	12:57 PM
	5	2:23 PM	9:53-10:23 AM	12:57 PM
	6	2:50 PM	10:20-10:50 AM	12:57 PM
	7	3:17 PM	10:47-11:17 AM	1:15 PM
	8	3:44 PM	11:14-11:44 AM	1:15 PM

	9	4:11 PM	11:41AM- 12:11PM	1:15 PM
		APPR. OFF TIMES 1:10 POST/8 RACE CARD	MUST BE ADMINISTERED BY:	DEPOSIT ADMINISTRATION SLIPS BY:
RACE	1	1:10 PM	8:40-9:10 AM	11:15 AM
	2	1:37 PM	9:07-9:37 AM	11:15 AM
	3	2:04 PM	9:34-10:04 AM	1:00 PM
	4	2:31 PM	10:01-10:31 AM	1:00 PM
	5	2:58 PM	10:28-10:58 AM	1:00 PM
	6	3:25 PM	10:55-11:25 AM	1:15 PM
	7	3:52 PM	11:22-11:52 AM	1:15 PM
	8	4:19 PM	11:49-12:19 AM	1:15 PM

SLIPS MUST BE TURNED IN AT THE STABLE GATE AT THE APPROPRIATE TIME.
FAILURE TO FOLLOW THIS PROCEDURE MAY RESULT IN A HORSE BEING
SCRATCHED AND A FINE LEVIED ON THOSE FOUND TO BE CULPABLE. BY
ORDER OF THE STEWARDS

ELIGIBILITY FOR THE ADMINISTRATION OF LASIX (FUROSEMIDE)

A horse may qualify by any one of the following means:

- The horse has bled visibility during a race or workout, as determined by the Association Veterinarian; or
- The horse has bled during a race or workout, as determined by endoscopic examination after the race or workout by an attending veterinarian; or
- The horse has been qualified by the State Veterinarian or a veterinarian employed by the racetrack for the administration of Lasix in another racing jurisdiction; or
- The horse has raced on Lasix in its last race in a jurisdiction with rules substantially similar to New York State must have proper documentation on file.
- For those horses (which have never run on furosemide) shipping in from out of state, the certification form must be filed with Horse ID or the track veterinarian prior to entry.

If determined that a horse has qualified, the horse shall be placed on a list maintained by the Association Veterinarian and shall not be permitted to race for the following periods of time:

- 1st Time – 10 days
- 2nd Time – 30 days
- 3rd Time – 90 days
- 4th Time – 1 year

Information on the entry blank pertaining to the use of Lasix is responsibility of all trainers, but errors will be judged by the Stewards on an individual basis.

The trainer must file satisfactory documentation of eligibility with the association veterinarian on or before time of entry.

Certificates must be submitted to the secretary's office by the end of the next racing day following a bleeding episode. Failure to comply will prolong ineligibility with day one being the day the bleeder certificate is turned in.

A horse may be removed from the list, upon authorization from the stewards. Certification must be filed with the secretary's office prior to entry with the okay form the attending and association vets.

A single intravenous (iv) injection of no less than 250 milligrams (5cc) and no more than 500 milligrams (10cc) during the time period from 4 to 4 ½ hours prior to the scheduled post time.

Resolution in Respect to Nerving, Alcohol Blocking and Percutaneous Nerve Freezing (Cryoneurectomy)

Any horse whose median, volar or plantar nerves have been blocked, removed or percutaneous nerve frozen (cryoneurectomized) shall not be permitted to race at Finger Lakes.

Any horse which has been nerved, alcohol blocked or percutaneous nerve frozen (cryoneurectomized) below the fetlock (posterior digital nerves) may be permitted to start, providing such nerving, blocking or percutaneous nerve freezing

(cryoneurectomy) has been reported by the trainer/owner to an association/track veterinarian prior to entering the horse in a race.

External Medication

No medicated packs will be permitted on horses arriving at the paddock prior to a race. In the event of drugs of such nature as are prohibited are found in the blood or urine, the trainer will be held responsible, even though the presence of the drug is the result of contamination while in the stable.

TCO₂

Sample Collection for TCO₂ will be collected pre-race. The state veterinarian (Dr. Guth) and/or one of the examining (track) veterinarians will be going to selected horse stalls 45-50 minutes before post time to collect a blood sample. Six to ten horses will be sampled each race day. The horses will then proceed to the paddock.

CLAIMING RULES

Section 4038.3 - Conditions for starting a claimed horse: If a horse is claimed it shall not start in a claiming race for a period of 30 days since date of claim for less than 25% more than the amount for which it was claimed.

Section 4038.4 – Sale, Transfer Restricted: If a horse is claimed it shall not be sold or transferred to anyone wholly or in part, except in a claiming race for a period of 30 days from the date of the claim. Nor shall it, unless reclaimed, remain in the same stable or under the control or management of its former owner or trainer for a like period. A CLAIMED HORSE SHALL NOT RACE OUTSIDE OF NEW YORK STATE FOR A PERIOD OF 30 DAYS FROM THE DATE OF THE CLAIM OR THE END OF THE MEETING AT WHICH IT WAS CLAIMED, WHICHEVER PERIOD OF TIME IS LONGER, except that a horse may run in a sweepstakes elsewhere for which the horse

was nominated by its former owner or trainer, or if permission is granted by the Stewards.

Section 4038.5. Requirements for Claim; Determination by Stewards:

(a) All claims shall be in writing, sealed in an envelope and deposited in a locked box provided for this purpose by the racing secretary or the racing secretary's designee, at least 10 minutes before post time. Claim slip forms must be completely filled out and must, in the judgment of the stewards, be sufficiently accurate to identify the claim, otherwise the claim will be void. No money shall accompany the claim. Each person desiring to make a claim, unless the person has such amount to the person's credit with the association, must first deposit with the association the whole amount of the claim, in a manner approved by the racing secretary or designee for which a receipt will be given. Unless funds of the claimant available in the claimant's account with the association are sufficient, in the judgment of the stewards, to pay the cost of any post-race testing (\$150) requested on the claim form by the claimant, the commission shall not conduct such testing. If such funds are sufficient, an amount sufficient to pay for the post-race testing (\$150) requested on the claim form shall be frozen in such claimant's account to secure anticipated costs of testing. All claims shall be passed upon by the stewards.

VOIDING A CLAIM

The new owner shall void a claim by completing and filing a Void the Claim form (available in the claims room and/or the NY Racing Commission office). It must be signed by the managing owner, trainer, or other representative. If the latter, the managing owner or trainer shall be available to affirm the person's authority for the racing office or stewards. The form must be completed and filed **within one hour after the race was made official**. Upon completion of this form the new owner will contact the state steward or his/her representative, who will rule whether the form was properly and timely completed and filed and, assuming the horse was **vanned off**, void the claim.

In unusual cases, the stewards may permit an alternative method to void a claim.

As soon as the stewards void a claim, the stewards shall cause the former owner (directly or via the trainer) and the persons attending to the horse to be notified immediately that the ownership of the horse has reverted to the former owner, who is now responsible for its care, custody, and control. The former owner shall immediately resume responsibility for the horse.

TRACK RULES AND CONDITIONS

1. All horses must have a negative Coggins test dated on/after December 1st of the current year to gain entry or race at Finger Lakes racetrack and a current health certificate if shipping from out of NYS dated within 72 hours upon arrival; 30 days if shipping within state.
2. No horseman will lock and secure the stall of any horse, preventing the animal from free and easy departure from the barn in the event of a fire or other emergency. In conjuncture with this order, pursuant to the National Fire Protection Association Rules and Regulations, a halter must be worn by each horse at all times while stabled at Finger Lakes Race Track. These procedures are mandatory and will be enforced by the Fire Marshall.
3. Parking is not permitted between the aisles in the horsemen's lot. Violators will be towed or fined.
4. Horsemen must receive approval from the association before any barn or shedrow may be painted.
5. Any licensee mounted on a horse or stable pony on FLGR grounds must wear a properly secured approved safety helmet & vest at all times.
6. An ASTM (1163), European Standards (EN-1384 or PAS-015 or VG1); or Australian/New Zealand Standards (AS/NZ 3838 or ARB HS 2012; or Snell Equestrian Standard 2001 Certified helmet must be (properly secured) worn at all times on FLGR grounds when racing,

parading, or warming up a horse prior to racing, jogging or exercising a horse at any time. An approved safety vest must be worn at all times on FLGR grounds when racing, parading or warming a horse prior to jogging or exercising a horse at any time. An approved vest must comply with one of the following minimum standards or later revisions: British Equestrian Trade Association (BETA) 2000 Level 1, Euro Norm (EN) 13158:2000 Level 1: (ASTM) F2681-08: Shoe & Allied Trade Research Association (SATRA) Jockey Vest Doc M^ Issue 3 or Australian Racing Board (ARB) Standard 1.1998

7. Colors must be in the jockey's room and registered with the Jockey Club. Violators will be fined.
8. All owners racing their horses at FLRA who do not have a set of colors (silks) in the jockey room will be assessed a \$15 fee by the association for the use of sub colors. All "new" owners may race three times with sub colors thereafter they must have their colors (silks) in the jockey's room.
9. The clocker is on duty seven (7) days a week. Any horse "working" during this period MUST be reported to the clocker. Violators will be fined.
10. Any horse that needs to be saddled outside of the paddock stall must be reported to the paddock judge prior to the first race.
11. Owners, trainers and grooms must dress in appropriate attire in the paddock. No tank tops.
12. The trainer will be responsible for seeing to it that all stable employees obtain and keep in their possession, the license issued by the New York State Gaming Commission and the identification cards issued by the Security Police in order to obtain admission to the barn area and other enclosures. Upon termination of his employment the employee's identification card must be picked up by the trainer and returned to the identification office.
13. Dormitories shall be made available only to licensed backstretch personnel. In no event shall children or members of any family be allowed in the dormitories.

Living quarters must be kept clean. Small television sets and radios may be plugged in to outlets. Light fixtures are not to be used for anything but lighting. No cooking units, oil or electric heaters are permitted. Electric wiring is not to be altered by putting in additional temporary plugs or wires.

14. A copy of the original foal certificate must be in the secretary's office before receiving a published workout.
15. There shall be no borrowing or lending of stalls without the approval of the racing secretary or stall superintendent.
16. Prior approval must be obtained from the racing secretary or stall superintendent for any trainer requesting additional stall space for any horse or horses purchased through private sales, claims or trainer transfers. Additional stall space must be requested **BEFORE** and not **AFTER** purchase or claim to receive consideration.
17. If a horse stabled at FLRA leaves to race at another facility it must have the racing secretary's permission. If no permission is granted, the horse will not be allowed to be stabled at FLRA. Trainer is subject to the loss of that stall from their allocation.
18. All trainers stabled on the grounds must have in-today horses on the property prior to daily race day veterinarian check. No scratches will be accepted by association veterinarians that do not follow these procedures.
19. Absolutely no outside structures shall be used outside of the barns for housing any horse.

EQUIPMENT

1. Quarter inch bends, jar caulks, stickers and any other traction devices will not be allowed on the horse's front shoes

2. Only shoes with a maximum toe grab of four (4) millimeters will be authorized for use on a horse's front hooves at Finger Lakes Race Track.
3. Cornell collars are not approved for use at Finger Lakes Race Track.
4. No cold water knee bandages are permitted in the paddock.

VETERINARIAN RULES AND CONDITIONS

Horses are assigned to the Finger Lakes Vet List as follows:

1. When they are scratched during morning pre-race examination for unsoundness or musculoskeletal findings of significant concern
2. When they are scratched during the post parade
3. When they are pulled up during a race
4. When they are unsound returning from a race
5. When they are unsound in the test barn

A horse cannot be removed from the Finger Lakes Vet List until the horse has worked for an examining (official) veterinarian. Such horses will be examined prior to the work and must jog acceptably. The horse must then work a minimum of 4 furlongs in a time of 52 seconds or better in a satisfactory fashion. After cooling out, the horse must again jog and remain sound. Blood will be drawn and submitted for testing on all horses working off the list. For those working off Finger Lakes Vet List, entry will be allowed immediately. For those working off another jurisdiction's vet list, entry will not be allowed until negative blood results are available and the other jurisdiction's association veterinarian has agreed to remove the horse from the other jurisdiction's list.

- Horses first assigned to the vet's list must wait a minimum of 10 days before they are eligible to work off.
- Horses unsuccessful in a first attempt to work off the list will be returned to the list for 30 additional days before they are eligible to try again.

- Horses unsuccessful in a second attempt to work off the list for the same problem will be returned to the list for 90 additional days before they are eligible to try again
- Horse failing a second attempt to work off the list for the same problem will not be permitted to enter at Finger Lakes.

CONTACTS

Racing Office.....(585) 924-3232, ext 771
 Direct Lines to Racing Office.....(585) 924-1546, (585) 924-1609
 Racing Secretary.....(585) 924-3232, ext 273
 Futurity Stakes Line.....(585) 924-3232, ext 388
 Association Steward.....(585) 924-3232, ext 237
 State Steward.....(585) 924-3232, ext 284
 Jockey Club Steward.....(585) 924-3232, ext 220
 NYS Gaming Commission
 Office/Licensing Office.....(585) 924-3232, ext 268
 Stable Gate/Backside Security.....(585) 924-3232, ext 213
 HBPA(585) 924-3004
 Horsemen’s Bookkeeper.....(585) 742-9231
 Jockey Club Office (silks/colors).....(585) 924-3232, ext 216
 Track Photographer.....(585) 924-3232, ext 258